

IN THE UNITED STATES DISTRICT COURT
FOR THE MIDDLE DISTRICT OF PENNSYLVANIA

UNITED STATES OF AMERICA

v.

RICHARD J. HARLEY,

Defendant.

NO. 12-CR-0224

(JUDGE CAPUTO)

JURY VERDICT FORM

WE, THE JURY, MAKE THE FOLLOWING UNANIMOUS FINDINGS BEYOND A
REASONABLE DOUBT:

Count 1 - On the charge of Wire Fraud on or about **July 24, 2008**, in the form of a
wire transfer from Marshall Silverstein, in violation of 18 U.S.C. § 1343, we find the
defendant **RICHARD HARLEY**:

☒ Guilty ☐ Not Guilty

Count 2 - On the charge of Wire Fraud on or about **September 4, 2008**, in the
form of a wire transfer from Marshall Silverstein, in violation of 18 U.S.C. § 1343,
we find the defendant **RICHARD HARLEY**:

☒ Guilty ☐ Not Guilty

Count 3 - On the charge of Wire Fraud on or about **February 13, 2009**, in the form of a wire transfer from Marshall Silverstein, in violation of 18 U.S.C. § 1343, we find the defendant **RICHARD HARLEY**:

✓ Guilty _____ Not Guilty

Count 4 - On the charge of Wire Fraud on or about **February 17, 2009**, in the form of a wire transfer from Marshall Silverstein, in violation of 18 U.S.C. § 1343, we find the defendant **RICHARD HARLEY**:

✓ Guilty _____ Not Guilty

Count 5 - On the charge of Wire Fraud on or about **March 24, 2009**, in the form of a wire transfer from Marshall Silverstein, in violation of 18 U.S.C. § 1343, we find the defendant **RICHARD HARLEY**:

✓ Guilty _____ Not Guilty

Count 6 - On the charge of Wire Fraud on or about **December 20, 2007**, in the form of a wire transfer involving Maurice Schufford, in violation of 18 U.S.C. § 1343, we find the defendant **RICHARD HARLEY**:

✓ Guilty _____ Not Guilty

Count 7 - On the charge of Wire Fraud on or about **December 16, 2008**, in the form of a wire transfer from Malcolm Casselle, in violation of 18 U.S.C. § 1343, we find the defendant **RICHARD HARLEY**:

✓ Guilty _____ Not Guilty

Count 8 - On the charge of Wire Fraud on or about **December 22, 2008**, in the form of a wire transfer from Malcolm Casselle, in violation of 18 U.S.C. § 1343, we find the defendant **RICHARD HARLEY**:

✓ Guilty _____ Not Guilty

Count 9 - On the charge of Wire Fraud on or about **May 16, 2009**, in the form of an email to Malcolm Casselle, in violation of 18 U.S.C. § 1343, we find the defendant **RICHARD HARLEY**:

✓ Guilty _____ Not Guilty

Count 10 - On the charge of Wire Fraud on or about **April 28, 2009**, in the form of an email to Malcolm Casselle, in violation of 18 U.S.C. § 1343, we find the defendant **RICHARD HARLEY**:

✓ Guilty _____ Not Guilty

Count 11 - On the charge of Wire Fraud on or about **May 4, 2010**, in the form of an email to Irene Randall, in violation of 18 U.S.C. § 1343, we find the defendant **RICHARD HARLEY**:

☒ Guilty ☐ Not Guilty

Count 12 - On the charge of Wire Fraud on or about **April 20, 2009**, in the form of an email to Andrew Heckenberger, in violation of 18 U.S.C. § 1343, we find the defendant **RICHARD HARLEY**:

☒ Guilty ☐ Not Guilty

Count 13 - On the charge of Wire Fraud on or about **April 3, 2012**, in the form of an email to Ned Siegel, in violation of 18 U.S.C. § 1343, we find the defendant **RICHARD HARLEY**:

☒ Guilty ☐ Not Guilty

Count 14 - On the charge of Wire Fraud on or about **April 28, 2009**, in the form of an email to Neil Abrams, in violation of 18 U.S.C. § 1343, we find the defendant **RICHARD HARLEY**:

☒ Guilty ☐ Not Guilty

Count 15 - On the charge of Wire Fraud on or about **April 18, 2011**, in the form of four emails to William Dudley, an employee of the Federal Reserve Bank of New York, in violation of 18 U.S.C. § 1343, we find the defendant **RICHARD HARLEY**:

✓ Guilty Not Guilty

Count 16 - On the charge of Bankruptcy Fraud on or about **November 22, 2010**, in violation of 18 U.S.C. § 157(1), we find the defendant **RICHARD HARLEY**:

✓ Guilty Not Guilty

Count 17 - On the charge of Bankruptcy Fraud on or about **March 24, 2011**, in violation of 18 U.S.C. § 157(1), we find the defendant **RICHARD HARLEY**:

✓ Guilty Not Guilty

Count 18 - On the charge of False Statements in Bankruptcy Filing on or about **November 22, 2010**, relating to assets totaling 765 million dollars, in violation of 18 U.S.C. § 152(3), we find the defendant **RICHARD HARLEY**:

✓ Guilty Not Guilty

Count 19 - On the charge of False Statements in Bankruptcy Filing on or about **March 24, 2011**, relating to assets totaling 765 million dollars, in violation of 18 U.S.C. § 152(3), we find the defendant **RICHARD HARLEY**:

✓ Guilty Not Guilty

Count 20 - On the charge of False Statements in Bankruptcy Filing on or about **May 29, 2012**, relating to the omission of debts involving Marshall Silverstein, the United States Securities and Exchange Commission, and the United States, in violation of 18 U.S.C. § 152(3), we find the defendant **RICHARD HARLEY**:

☒ Guilty ☐ Not Guilty

Count 21 - On the charge of False Statements in Bankruptcy Filing on or about **May 29, 2012**, relating to the failure to list himself as an officer of RJH & Company, in violation of 18 U.S.C. § 152(3), we find the defendant **RICHARD HARLEY**:

☒ Guilty ☐ Not Guilty

Count 22 - On the charge of False Statements in Bankruptcy Filing on or about **May 29, 2012**, relating to the failure to list art objects, in violation of 18 U.S.C. § 152(3), we find the defendant **RICHARD HARLEY**:

☒ Guilty ☐ Not Guilty

Count 23 - On the charge of Bank Fraud from on or about **April 2009 to on or about April 2012**, in violation of 18 U.S.C. § 1344, we find the defendant **RICHARD HARLEY**:

☒ Guilty ☐ Not Guilty

In Wilkes-Barre, Pennsylvania, this 15th day of December 2014.

12/15/14 5:15 PM
DATE AND TIME

FOREPERSON'S SIGNATURE

FOREPERSON'S NAME (PRINT)